



2008 JAN 30 PM 4:49

DEPLREF

Patent
Attorney Docket No. 1016660-000164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1253

In re Patent Application of)	MAIL STOP REFUND
Hoi-Sing Kwok et al.)	Group Art Unit: 1756
Application No.: 10/615,954)	Examiner: Kathleen Duda
Filed: July 10, 2003)	Confirmation No.: 1065
For: PHOTO-PATTERNED LIGHT)	
POLARIZING FILMS)	

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants are entitled to claim status as a small entity as evidenced by the attached copy of the Official Filing Receipt.

It is respectfully requested that one-half (1/2) of the following credit card paid fees be refunded to Applicants:

RCE fee (\$790) and EOT fee (\$120) submitted August 1, 2006 (copies attached);

EOT fee (\$1020) submitted April 20, 2007 (copy attached).

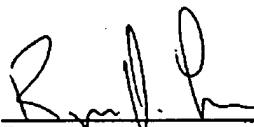
Accordingly, it is respectfully requested that a total refund of \$965 be credited to Deposit Account No. 02-4800.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: January 29, 2008

By:



Roger H. Lee
Registration No. 46317

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals

Title

Photo-patterned light polarizing films

Preliminary Class

430

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



2003 JAN 30 Page 1 of 2: 49



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/615 954	07/10/2003	1756	384	016660-164	10	21	2

James A. LaBarre
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

FILING RECEIPT



COPY Da

Date Mailed: 10/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Hoi-Sing Kwok, Kowloon, HONG KONG;
Wing-Chiu Yip, Chai Wan, HONG KONG;
Vladimir Chigrinov, Kowloon, HONG KONG;
Vladimir Kozenkov, Kowloon, HONG KONG;

The Hong Kong University of Science and Technology, Kowloon, HONG KONG;

This application is a DIV of 09/642,819 08/22/2000 PAT 6,630,289

Lloyd Wise
016660-124
JAL

If Required, Foreign Filing License Granted: 10/06/2003

Projected Publication Date: 01/15/2004

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

BURNS, DOANE, SWECKER & MATHIS, L.L.P.
RECEIVED

OCT - 7 2003
10-9-03-10

DOCKETED



2008 JAN 30 PM 4:49

Patent
Attorney's Docket No. 1016660-000164

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hoi-Sing KWOK et al.

Application No.: 10/615,954

Filing Date: July 10, 2003

Title: PHOTO-PATTERNED LIGHT
POLARIZING FILMS

Group Art Unit: 1756

Examiner: Nicole M. BARRECA

Confirmation No.: 1065

COPY

REQUEST FOR CONTINUED EXAMINATION
TRANSMITTAL LETTER

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Customer Number 21839

Sir:

Applicant(s) requests continued examination under 37 C.F.R. § 1.114 and enclose
the ☐ 395 ☒ 790 fee due under 37 C.F.R. § 1.17(e).

1. ☐ A. Applicant(s) requests that any previously unentered after final amendments not be entered. Continued examination is requested based on the enclosed documents identified in item 2 below.
- ☐ B. Applicant(s) previously submitted the following documents for which continued examination is requested:
 - ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____.
 - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
 - ☐ Other: _____
2. The following documents are enclosed with this submission:
 - ☒ Amendment/Reply.
 - ☐ Affidavit(s)/Declaration(s).
 - ☒ Information Disclosure Statement.
 - ☒ A Petition for Extension of Time.
 - ☐ Other:
3. ☐ Small entity status is hereby claimed.

COPY

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals

WAB
8-1-06

Request for Continued Examination Transmittal Letter

Application No. 10/615,954Attorney's Docket No. 1016660-000164

Page 2

- ☒ No additional claim fee is required.
- ☒ The fee is calculated below on the basis of the highest number of claims already paid for in this application prior to this submission:

COPY

					FEES
Examination Fee (1801)					\$ 790
	No. of Claims		Extra Claims	Rate	
Total Claims	20	Minus 21=	0	x 50 (1202)	\$ 0
Independent Claims	2	Minus 3=	0	x 200 (1201)	\$ 0
If multiple dependent claims are presented, add \$ 360					\$ 0
Total Fee					\$ 790
<input type="checkbox"/> Small Entity Status claimed - subtract 50% of Total Application Fee					\$ 0
TOTAL FEE DUE					\$ 790

4. ☐ Charge _____ to Deposit Account No. **02-4800** for the fee due.
5. ☐ A check in the amount of _____ is enclosed for the fee due.
6. ☒ Charge \$ 790 to credit card for the fee due. Form PTO-2038 is attached.
7. ☐ Applicant(s) requests suspension of action by the Office until at least _____, which does not exceed three months from the filing of this RCE, in accordance with 37 C.F.R. § 1.103(c). The required fee under 37 C.F.R. § 1.17(i) is enclosed.
8. ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: August 1, 2006

By:


Roger H. Lee

Registration No. 46317

COPY

P.O. Box 1404
 Alexandria, VA 22313-1404
 703 836 6620



2008 JAN 30 PM 4:49

Patent
Attorney's Docket No. 1016660-000164

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hoi-Sing KWOK et al.

Application No.: 10/615,954

Filing Date: July 10, 2003

Title: PHOTO-PATTERNED LIGHT
POLARIZING FILMS

)
)
) Group Art Unit: 1756

)
) Examiner: Nicole M. BARRECA

)
) Confirmation No.: 1065
)
)
)

COPY

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following extension of time is requested to: respond to the Official Actions mailed November 2, 2005 and May 30, 2006, and is further to the Notice of Appeal filed on May 1, 2006, for

One Month to August 1, 2006

☒ \$ 120

☐ \$ 60

☐ The shortened statutory period has been reset by an Advisory Action dated _____.

☒ An Extension fee in the amount of \$ 120 is enclosed.

☐ Charge _____ to Deposit Account No. 02-4800.

☒ Charge \$ 120 to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: August 1, 2006

By:

Roger H. Lee
Registration No. 46317

COPY

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals

DOCKETED
ed 8-10-06



2008 JAN 30 PM 4: 49

Patent

Attorney's Docket No. 1016660-000164

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hoi-Sing KWOK et al.

Application No.: 10/615,954

Filing Date: July 10, 2003

Title: PHOTO-PATTERNED LIGHT
POLARIZING FILMS

Group Art Unit: 1756

Examiner: Kathleen DUDA

Confirmation No.: 1065

COPY

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following extension of time is requested to: respond to the Office Action mailed October 20, 2006, for

Three Months to April 20, 2007

☒ \$ 1,020

☐ \$ 510

☐ The shortened statutory period has been reset by an Advisory Action dated _____.

☐ An Extension fee in the amount of _____ is enclosed.

☐ Charge _____ to Deposit Account No. 02-4800.

☒ Charge \$ 1,020.00 to credit card. Form PTO-2038 is attached.

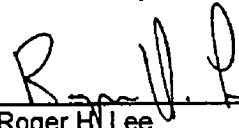
The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: April 20, 2007

By:


Roger H. Lee
Registration No. 46317

COPY

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620

Buchanan Ingersoll & Rooney PC
Attorneys & Government Relations Professionals

DOCKETED
filed 4/20/07
A3



Patent
Attorney's Docket No. 1016660-000164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Hoi-Sing KWOK et al.) Group Art Unit: 1756
Application No.: 10/615,954) Examiner: Kathleen DUDA
Filing Date: July 10, 2003) Confirmation No.: 1065
Title: PHOTO-PATTERNED LIGHT)
POLARIZING FILMS)

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following extension of time is requested to: respond to the Office Action mailed October 20, 2006, for

Three Months to April 20, 2007

☒ \$ 1,020 ☐ \$ 510

- ☐ The shortened statutory period has been reset by an Advisory Action dated _____.
- ☐ An Extension fee in the amount of _____ is enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800.
- ☒ Charge \$ 1,020.00 to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

02/23/2008 MGE BREH1 00000002 10615954

Date: April 20, 2007

01 FC:2253

510.00 OP

By:

Roger H. Lee

Registration No. 46317

Adjustment date: 02/20/2008 MGE BREH1
04/23/2007 SZEWDIE1 00000096 10615954
01 FC:1253 -1020.00 OP

P.O. Box 1404
Alexandria, VA 22313-1404
703 836 6620

04/23/2007 SZEWDIE1 00000096 10615954

01 FC:1253

1020.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Group Art Unit: 1756
Hoi-Sing KWOK et al.) Examiner: Nicole M. BARRECA
Application No.: 10/615,954) Confirmation No.: 1065
Filing Date: July 10, 2003)
Title: PHOTO-PATTERNED LIGHT)
POLARIZING FILMS)

REQUEST FOR CONTINUED EXAMINATION
TRANSMITTAL LETTER

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Customer Number 21839

Sir:

Applicant(s) requests continued examination under 37 C.F.R. § 1.114 and enclose the ☐ 395 ☒ 790 fee due under 37 C.F.R. § 1.17(e).

1. ☐ A. Applicant(s) requests that any previously unentered after final amendments not be entered. Continued examination is requested based on the enclosed documents identified in item 2 below.

☐ B. Applicant(s) previously submitted the following documents for which continued examination is requested:

☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____

☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

☐ Other: _____

02/20/2008 MGERREM1 00000003 10615954

01 FC:2001
02 FC:2251

395.00 OP
60.00 CP

2. The following documents are enclosed with this submission:

☒ Amendment/Reply.

☐ Affidavit(s)/Declaration(s).

☒ Information Disclosure Statement.

☒ A Petition for Extension of Time.

☐ Other:

08/02/2006 JADD01 00000050 10615954

01 FC:1801

790.00 OP

3. ☐ Small entity status is hereby claimed.

Adjustment date: 02/20/2008 MGERREM1
08/02/2006 JADD01 00000050 10615954
01 FC:1801 -790.00 OP

Adjustment date: 02/20/2008 MGERREM1
08/02/2006 JADD01 00000050 10615954
02 FC:1251 -120.00 OP